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Processing of kinetic microarray signals

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Abstract

Measurement of kinetics using microarrays requires adapted experimental analysis for data evaluation and normalization. Here we present a new algorithm based on alignment of data, which solves inconsistencies of current normalization methods utilizing baseline correction. Our results show that this method leads to better data consistency and relative errors four times smaller on average. © 2007 Elsevier B.V. All rights reserved.

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1. Introduction

Microarray techniques are of indisputable value for today's genomic and proteomic research in biology and medicine. Traditionally, these methods do not directly provide kinetic information. In recent years, modern microarray technologies evolved which will enable the researcher to design new kinds of experiments involving time-resolved measurements. Such techniques include surface plasmon resonance imaging [1], ellipsometry [2], surface acoustic wave sensors [3], nano-wire based [4] and cantilever-based methods [5].

Data processing plays an important role in the interpretation of time-resolved data from microarray measurements. Since these signals are based on extremely small sensor areas (in the μ m² range) relatively large variations may occur during functionalization with probe molecules and detection of the signal upon binding of an analyte. This leads eventually to large relative errors in the data compared to signals from sensors with large signal-integration areas. To get an estimate of data quality, measurements are performed in redundancy with several sensors functionalized in the same way. These different channels (positive and negative controls) are then averaged. In general the average of the references (negative control) is subtracted from

* Corresponding author. *E-mail address:* thomas.braun@unibas.ch (T. Braun). the average of positive controls to remove contributions from unspecific interactions (differential signal).

However, averaging of multiple signals is problematic for kinetic data: before averaging, the data must be corrected for different offsets and drifts. Traditionally this is done by a baseline correction whereby an initial measurement period is analyzed in the absence of an analyte. The signal recorded during this initial period is fitted by a linear baseline, which is then extrapolated and subtracted from the raw measurement curve. Such a procedure evokes several problems, which are not observed in measurements involving only one reference and one positive signal. (a) The initial linear fit is prone to errors due to noise. These errors are amplified when extrapolated to the complete duration of the measurement. (b) Linear progression of drift might be an unjustified assumption. If only one negative and one positive signal are recorded, the reference may be simply subtracted.

Therefore it is reasonable to assume that the relative error of the average will increase over time. This is in contradiction to the theory that real measurements can be interpreted as ideal measurements plus noise. In this case the relative error would be constant.

Normalization of microarray data is a significant problem, which needs to be solved to be able to compare data from different experiments and correct for local variations of the individual sensors. In the case of nanomechanical microarrays the mechanical response of individual sensors can be tested prior to a (biological) experiment by a heat test [6,7].

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The use of baseline correction methods may increase the errors due to inaccurate offsets as opposed to the normalization test done before. Here we propose an alignment-based method that utilizes additional normalization factors preventing such errors and leading to optimal data extraction in kinetic microarray experiments. In short, this alignment procedure shifts and rotates the curves for optimal overlapping of all data-sets in magnitude and time as well as in a rotation angle for the complete duration of the experiment. Additionally a factor scaling the data in magnitude is introduced which is responsible for the normalization. Here we use a normalization coefficient derived from the heat test to demonstrate the normalization routine.

In the following, we shall use a test data-set acquired in a standard static nanomechanical cantilever experiment (pH measurement, similar experiments are discussed in detail in Ref. [8]) to compare the effects of baseline correction and alignment methods for the processing of cantilever-based microarray sensor data.

2. Materials and methods

2.1. Reagents

Na₂HPO₄, NaH₂PO₄, NaCl, hexadecane-1-thiol (HDT), 16-mercapto-hexa-decanoic-1-acid (MHA), HPLC-grade water and ethanol were all purchased from Fluka (Buchs, Switzerland). MHA and HDT were dissolved in ethanol to a final concentration of 4 mM each. Two different pH solutions were prepared for the experiments: NaH₂PO₄ was dissolved in water to a final concentration of 100 mM resulting in a pH of 4.3 (low-pH solution). Na₂HPO₄ was dissolved in water to a final concentration of 100 mM resulting in a pH of 8.6 (high-pH solution). Both solutions were adjusted to 300 mM in ionic strength using 1 M NaCl.

2.2. Cantilever preparation

Microfabricated arrays with eight identical silicon cantilevers at a pitch of $250 \,\mu\text{m}$, a length of $500 \,\mu\text{m}$, a width of $100 \,\mu\text{m}$ and a thickness of $1 \,\mu\text{m}$ (spring constant of $0.0025 \,\text{N/m}$) were provided by the Micro- and Nanomechanics group at the IBM Zurich Research Laboratory. The cantilevers were prepared as described in detail elsewhere [9,10]. Briefly, the cantilever arrays were cleaned in Piranha solution and then coated on their upper side with 2 nm of Ti (99.99%, JohnsonMatthey), followed by 20 nm of Au (99.999%, Goodfellow), using an Edwards L400 e-beam evaporator operated at a base pressure below 10^{-6} mbar and evaporation rates of 0.07 nm/s. Afterwards, the cantilever array was functionalized using eight micro-capillaries (inner diameter 150 µm; from Garner Glass, Claremont, CA), one for each cantilever, filled with either MHA or HDT solution, thereby activating the two groups of four cantilevers either with a pH sensing layer (MHA) or a reference layer (HDT). After 20 min, the functionalized cantilever array was washed twice in low-pH solution.

2.3. pH experiment

The functionalized cantilever array was inserted into a liquid chamber (volume: 50 μ l) and mounted at an angle of 11° with respect to the incident laser beam (time-multiplexed verticalcavity surface-emitting laser; wavelength 760 nm, Avalon Photonics, Zurich, Switzerland). The laser beam was redirected by a mirror to a PSD (position-sensitive detector, SiTek, Partille, Sweden). Data were acquired using a multifunctional dataacquisition board (National Instruments, Austin, TX) driven by LabView software. The software also controlled the liquidhandling system of the setup, the syringe pump (GENIE, Kent Scientific Corp., Torrington, CT), and a 10-position valve system (Rheodyne, Rohnert Park, CA). The entire setup was placed inside a temperature-controlled box (Intertronic; Interdiscount, Switzerland), which was equilibrated to 23 °C. The cantilevers were equilibrated in low-pH solution before and after each injection of high-pH solution. Three pulses of high-pH solution were conducted. In the first injection, 200 µl high-pH solution was injected at a flow rate of 20 µl/min (section II of Fig. 3A-D). Subsequently, the cantilevers were equilibrated with an injection of 200 µl low-pH solution at a flow rate of 20 µl/min. The following two pH changes (sections IV and V, VI and VII) were performed under a constant flow rate of 20 µl/min while switching from one pH solution to the other.

2.4. Data processing

All data-processing algorithms were implemented in the IGOR pro data analyze environment (www.wavemetrics.com). The operations were implemented in a framework of a cantilever-sensor processing tool called NOSEtools [11,6] (http://web.mac.com/brunobraun/iWeb/NOSETools/). For details see Section 3.1.

3. Results

As a test system we measured the pH-dependent detection of eight cantilevers in one microarray. Individual cantilevers were functionalized either with 16-mercapto-hexadecanoic-1acid (MHA, cantilevers 5–8) as pH sensing cantilevers or with hexadecane-1-thiol (HDT, cantilevers 1–4) as references. To run the test we used a cantilever array with known large mechanical variations among the individual cantilevers for testing purpose. Standard experiments are usually not performed with such inhomogeneous arrays.

One of the advantages of nanomechanical cantilever sensors is the option to perform a normalization test prior to the experiment. This test allows to assess the mechanical homogeneity of the cantilevers in the array by performing a heat test: the measurement chamber containing the cantilever array was heated (by $2 \,^{\circ}$ C for 30 s) and allowed to cool again to the working temperature. The asymmetric gold coating (see Section 2) forced compressive bending of the cantilevers due to the different thermal expansion coefficients of gold, titanium and silicon. These heat tests are in general highly reproducible. These data were used to normalize the pH-experiment which was performed directly after the heat test. During this part of the experiment, two different buffers with a different pH (but identical ionic strength) were injected in sequence for three pulses (see Section 2 for details).

All data analysis steps were performed in two different ways: first with baseline correction and normalization as described before [6] and second by aligning and normalization of the data (see next Section).

3.1. Alignment algorithm

The alignment of the data was done by pairwise alignment of one curve on a reference. The program minimized the distance of the transformed data point $y(x) = E(y(x + \Delta x)) + \Delta y + \sin(\alpha)x$ (with Δx the shifting in the time axis, Δy a constant offset and α the rotation angle, *E* is an expansion/compression coefficient used for data normalization) to the reference data point $y_{ref}(x)$ using a standard Levenberg–Marquardt algorithm [12].

The alignment-reference for the first round was obtained by averaging all original raw data-sets. In this way the influence of a single raw curve on the alignment result is minimized (pseudo reference-free alignment). The alignment was performed in several rounds. After every round a new reference of the shifted and rotated curves was calculated, and in the next round the original data-sets were aligned on the new reference again (see Fig. 1). At the end of an optimization round, the aligned curves were compared to the reference and a cross-correlation (Pearson) coefficient reflecting the similarities between the curves was calculated. The alignment improved with the number of performed rounds. This was reflected in the calculated cross-correlation coefficients asymptotically approaching an upper limit (data not shown).

The operator can influence the alignment algorithm with boundary conditions (black boxes in Fig. 1). First, limits for the shifts $(\Delta x, \Delta y)$ and for the maximal rotation angle α can be given. If expansion is allowed, a maximal expansion or compression factor *E* can be set. Second, a list with increasing crosscorrelation coefficients for every round can be created. Curves which revealed a lower cross-correlation coefficient than a given threshold in a specific round were removed from the active curve pool. This was never the case in all alignment operations performed on the experimental data used for this publication.

The factor E was introduced for normalization of the data. Using a normalized reference to align the heat test data, the normalization coefficients were determined prior to the analysis of the pH experiment. The normalization factors determined were carried over to the real experiment and kept constant for these alignments (see next Section).

Note that a constant normalization factor E did not change signals relative to each other in all our tests (see Supplemental material, Section 1).

3.2. Analysis of heat test

Results of the heat test are presented in Fig. 2. Panel A depicts the baseline corrected data. These data were obtained by subtracting an extrapolated baseline (between 0 min and 6.5 min) to remove offsets and drifts. The different magnitudes of cantilever responses reflect the different nanomechanical properties of the individual cantilevers and are not due to varying laser positions on the cantilever as visually monitored by a CCD camera. The peak heights obtained for this cantilever array varied from roughly 200 nm up to more than 800 nm. This is atypical for standard cantilever arrays but useful to evaluate the proposed data analysis routine.

Fig. 2, panel B shows the normalized heat-test data which was calculated as follows: from the baseline-corrected data a peak search was performed. In a second step all data were divided with the individual peak heights of the cantilevers and multiplied with the average peak height of all cantilevers. This factor was later used for the normalization of the subsequent pH experiment.

For comparison, the heat-test data was aligned as described in Section 3.1 (see Fig. 2 panel C). To find the normalization coefficients, the reference was always normalized with its peak height and multiplied with the averaged peak heights of the baseline corrected data. This allows for a direct comparison of the two results. The determined normalization coefficients were carried over to the pH experiment. The average of the normalization coefficient was found to be 1.1. Time shifts were negligibly small (maximally 1.2 s, which has to be compared to the time for the multiplexed readout of all eight cantilevers of 2.9 s).

3.3. Analysis of pH experiment

The pH experiment was performed by sequential injection of two buffers of different pH but equal ionic strength. In Fig. 3A–D the time span where the low-pH buffer is flowed through is indicated with a white and the time periods with high-pH solution are marked with a gray background.

3.3.1. Baseline correction and normalization

To compare the alignment with the baseline-correction method, the start sequence of the raw data (section I in Fig. 3) was fitted with a linear model between 0 min and 10 min. The baseline was extrapolated to the whole duration of the measurement and subtracted from the raw data. The result is depicted in Fig. 3A. In a second step, the data-set was divided with the normalization factors obtained from the heat test as described in Section 3.2. The normalized data are displayed in Fig. 3B.

3.3.2. Alignment of pH experiment data

To ensure a better comparison between the two processing methods, we used the initially baseline corrected data depicted in Fig. 3A as input data-sets. The reference for the first alignment round was obtained by averaging these input data. The alignment was performed using the constant normalization coefficients from the heat-test data alignment. This stretches or compacts the raw data during alignment which corresponds to the normalization of the baseline correction approach. This process is independent from initial offsets and additional baselines (see Supplemental material, Section 1). Fig. 3C shows the result of the alignment.

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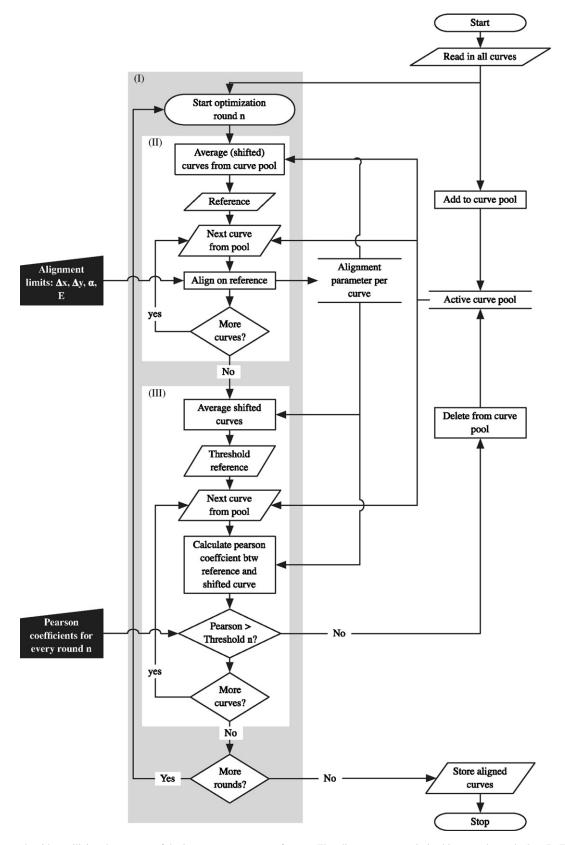


Fig. 1. Alignment algorithm utilizing the average of the input curves as start reference. The alignment was optimized in several rounds (box I). The optimization was performed for every round in two steps. First, the raw curves were aligned to the reference (box II). Second a new reference based on the shifted and rotated curves was created. A cross-correlation coefficient (Pearson) was calculated between every curve and the new reference. Curves with correlation coefficients below a threshold were deleted from the active curve pool (box III). At the beginning of every optimization round, a new reference from the shifted and active curves was calculated.

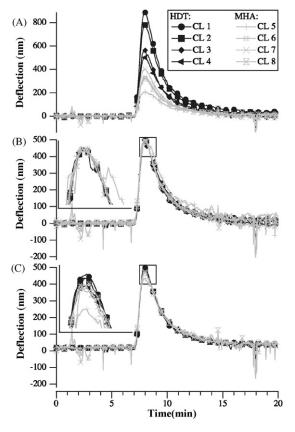


Fig. 2. Analysis of the heat test. MHA functionalized cantilevers (pH sensitized) are depicted in gray, HDT coated ones (pH insensitive) with black lines. (A) baseline corrected raw data, (B) normalized data after baseline correction, (C) alignment of baseline corrected raw data with adjustable normalization factors. Insets in panel (B) and panel (C): peak region at higher magnification, also indicated by a box in the main graph. The markers (every fourth data point) indicate the cantilever (CL) number (legend).

3.3.3. Differential signal

The aligned and normalized data-sets were averaged and the corresponding standard errors calculated. The HDT average was subtracted from the MHA average and the error propagation estimated. The results are shown in Fig. 3D. The global-mean standard-error for the aligned data was 11.4 nm (upper curve) and for the baseline corrected data 46.8 nm (lower curve).

The relative errors of the differential signal at high pH 8.6 (sections II, IV, and VI in Fig. 3) were calculated and are summarized in Table 1. On average, the relative error of the pH measurements was four times lower if the curves were aligned in contrast to baseline corrected data analysis.

Table 1

Relative errors of the differential signal for high-pH solution (differential nanomechanical response of pH sensitized cantilevers to jumps of 4.3 pH units)

	Section		
	Ι	IV	VI
Baseline corrected	8.7%	11.9%	17.5%
Aligned	3.2%	3.3%	2.8%

The section numbers correspond to the sections in Fig. 3.

All the calculations were also performed with the raw data without normalization (baseline correction as shown in Fig. 3, aligning with normalization factors E = 1; see Supplemental material, Section 2). Comparing the averages of the aligned and baseline-corrected data revealed that the aligned data resulted in better data consistency and standard errors. However, the calculation of the differential signal (MHA data minus HDT data) for the not-normalized averages did not show significant features, neither for aligned nor for the baseline corrected data (see Section 4).

4. Discussion

Comparison of data evaluation via baseline-correction with the alignment-based approach revealed that the latter yielded more precise results (in average four times smaller relative errors, see Table 1). This finding is of outmost importance to interpret data only slightly above noise level and can massively enhance evaluation of experiments at the sensitivity limit of kinetic microarray devices.

We have chosen a test data-set with several challenges to push data processing to its limits: large variations of individual nanomechanical properties and large noise level in one of the cantilever responses due to instabilities in the verticalcavity surface-emitting laser operation (cantilever No. 5, Fig. 2). Further the positively functionalized cantilevers (MHA) show systematically lower heat-peak deflections as the negative ones (HDT, see Fig. 2A) due to different cantilever stiffness. This is never observed in this extent with standard quality cantilever arrays. Therefore, data evaluation without correction of differing mechanical properties through normalization led to a differential signal with insignificant deflection changes upon pH change (Supplemental material, Section 2). The heat test represents a pre-calibration of the response of the mechanical signal transducer. Such a test would relate to a chemical activation analysis used in other microarray technologies prior to the experimental exposure to (bio)-analytes. Such a pre-calibration is not possible with traditional microarray technologies and represents one of the advantages of nanomechanical sensing arrays.

The applied alignment algorithm is fast, reproducible and reliable. Moreover it is simple to use. It is only possible to influence the outcome by changing the shifting, rotation or normalization factor limits. In all our alignment tests the applied limits never changed the outcome of the alignment or the analysis of the experiment, as long as they were set wide enough. Furthermore, the choice of more stringent cross-correlation coefficients allows to set objective criteria for data exclusion from defective sensors in very large arrays. Optionally the alignment algorithm allows to shift data in time. The observed time shifts were always below the spacing in time of data points due to the multiplexed readout of the individual sensors. This optional time shift could be of great value for the data analysis of large sensor arrays with long distances for the ligands to diffuse to the binding sites. In this case, the positive and negative control average also must be synchronized by alignment. In the analysis here time shifts were allowed but only negligible shifts were observed of maximally 2.9 s. This option did not change the final outcome at

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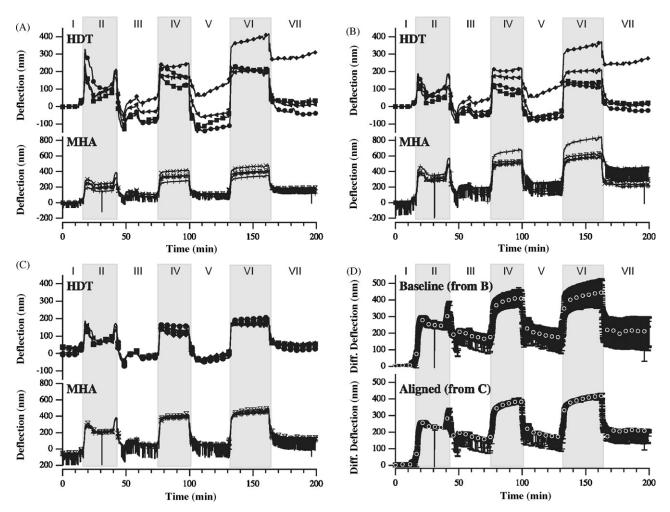


Fig. 3. Normalization and averaging of the pH-experiment. Injection periods with high-pH buffer are indicated with gray boxes, while low-pH buffer periods with white background. (A) baseline corrected raw data without normalization, and the starting point of all subsequent calculations, (B) conventional normalized data (the baseline corrected data from (A) were divided by the normalization factor derived from the heat test), (C) alignment and normalization by the new algorithm (the normalization factors were derived from heat test analysis), (D) differential signal of averaged aligned and baseline corrected data-sets ($\overline{MHA} - \overline{HDT}$). The error bars indicate the propagated standard errors of the averages. Note that for panels (A–C) the axis labels for the HDT data only comprise half the span of the MHA data to facilitate the interpretation. The markers label every 100th data point. Markers in panels (A–C) are identical with Fig. 2; in panel (D) the data points are marked by white circles.

all and these tiny time shifts are not the reason for the large improvement of the standard and relative errors as compared to baseline-corrected data (see Fig. 3 and Table 1).

In the first step, the heat-test data-set was analyzed to get the normalization coefficients for both methods (the baseline correction approach and the analysis by alignment). These coefficients were later used to correct the pH data for mechanical inhomogeneities between the cantilevers. The analysis of the heat test (Fig. 2) shows clearly that with the alignment procedure the full characteristics of the Peltier peak are taken into account (panel C). This finding is in contrast to the baseline corrected normalization procedure, where determination of the peak height in the heat test is prone to be affected by local noise at the peak region as seen in the insets of Fig. 2. This is obvious with cantilever 5 (marker +), where the detection method is running into the nonlinear region of the PSD and is therefore stretched too much during normalization by the baseline-correction approach (see panel B). In the case of aligning the data (panel C), cantilever 5 does not reach the peak and is flattened, but the rest of the curve is properly aligned to the ensemble of the heat test data. A typical finding is also the large curve spread in the end of the short measurement which is not observed for aligned data. Note that the reference for alignment of the heat-test data was normalized. To do this, the initial input data was baseline corrected and normalized. For the subsequent optimization rounds no baseline correction was needed.

In the second step, the pH experiment was analyzed and again the two methods (baseline correction versus alignment) were compared. The data-sets were normalized by the corresponding methods with the coefficients found during the heat peak analysis. An analogue analysis without normalization is presented in supporting materials (Section 2). The comparison of panel A (baseline corrected data-set) and panel B (baseline corrected and normalized data-set) in Fig. 3 reveals that the deviation of the curve drift is improved only marginally by the normalization. For some cantilevers the spread even increased. This is not only due to errors during determination of the normalization factors in the heat test as discussed above, but also caused by the erroneous offsets increasing with time introduced by the initial baseline correction of the pH data. The latter is due to the errors during baseline characterization in the beginning of the experiment, which are amplified over time. The proposed normalization/alignment algorithm is independent from such offsets and baseline corrections. This is visible in Fig. 3C, where the overlap of curves is massively improved (compare with panel A). The alignment based normalization of the pH data also decreased the measurement errors as compared to the aligning of raw data without normalization.

Note that these normalization coefficients must be derived from tests depending on the nature of the used sensor or transducer, respectively, but the proposed algorithm is independent of the used measurement method and normalization criteria.

The alignment is only slightly affected by the large noise level as observed in the response of cantilever 5 in Figs. 2 and 3. Note that such noise influenced baseline correction substantially due to introduction of large errors for the initial baseline determination; these are further amplified over time during baseline extrapolation.

In the final operation, the differential signal between the pH sensitive cantilevers (MHA functionalized) and reference cantilevers (HDT functionalized) for both methods (baseline correction and alignment) was calculated. For this, the corresponding signals were averaged and the reference was subtracted. The found result is in excellent agreement with previously published measurements [9]. The differential signal (Fig. 3D) for aligned and baseline-corrected data reveals that the main difference between evaluation methods is not the qualitative development of the differential signal, but mainly the much higher data quality, which is clearly demonstrated in regard to the error bars representing the propagated standard error. Table 1 also clearly shows that the relative error of the differential signal in baseline-corrected data-analysis increases over time as postulated in Section 1. This is not the case for the differential signal deduced from the aligned data. This will also allow to evaluate correctly long-term experiments showing signals at the resolution limit of the instrument. The four times lower relative error for the aligned data signals allows to reach higher resolution and sensitivities with the same instrument or equal data quality with less sensor response statistics. Please also note that the pH "insensitive" HDT cantilever also undergoes nanomechanical deflection changes upon injection of different pH solutions. This is induced by chemical reactions on the non-functionalized silicon surface (for details see [8]).

The proposed algorithm based on alignment is free from the assumption of baseline propagation. With constant normalization coefficients E = 1 and provided that time-shifts are not allowed, the method represents an optimal way of finding the baseline for all curves before averaging. This avoids the pitfalls for baseline correction described above. Normalization changes data scaling by definition. In all our tests the normalization algorithm used here did not change signals relative to each other. This finding was independent of the baseline of the curve, e.g. the outcome of the heat-test data alignment was independent, whether the input data were baseline corrected before or not (see Supplemental material, Section 1). The test data used here posed several hurdles for subsequent data processing which were successfully overcome. In addition, processing of standard data-sets from DNA hybridization and protein detection experiments confirmed the finding that the alignment/normalization algorithm proposed here improves the quality of the results in general (data not shown).

5. Conclusions

The alignment of kinetic microarray data resulted in four times smaller relative errors for the measurements than evaluated by baseline-correction. This improvement will facilitate experimental design and data interpretation close to the resolution limit of the measurement instrument.

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Appendix A. Supplementary data

Supplementary data associated with this article can be found, in the online version, at doi:10.1016/j.snb.2007.05.031.

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Biographies

Thomas Braun received his MS in biophysical chemistry in 1998, and his PhD 2002 in biophysics from the Biozentrum, University of Basel (Switzerland). His thesis was in the field of membrane protein biochemistry, high resolution electron microscopy and digital image processing. As post-doc, he works on the development of kinetic microarray techniques with focus on nanomechanical sensors for membrane protein research and multimode measurements at the Institute of Physics, University Basel.

François Huber received his diploma in microbiology from the Biozentrum of the University of Basel, Switzerland in 1989, and a PhD degree in biochemistry from the Institute of Biochemistry of the University of Bern, Switzerland in 1996. In 1996 he joined the group of Prof. Dr. David Boettiger of the University of Pennsylvania, Philadelphia, USA, as a postdoctoral fellow, working on cell adhesion. In 2001 he joined the group of Prof. Dr. Matthias Chiquet at the M.E. Müller Institute of the University of Bern, working on mechanical properties of cells. Currently he is working on micro cantilever-based biosensors at the University of Basel in the institute of physics. His research interests are in DNA and protein based micro cantilever biosensors.

Murali Krishna Ghatkesar received his MSc degree in Electronics from University of Hyderabad, India, and the MSc (Eng) degree in 2001 from Indian Institute of Science, India, for his work on tactile sensors. In 2001, he joined industry and implemented speech codecs on digital signal processors. He went back to Indian Institute of Science in 2003 to work on the development of thin film heat transfer gauges on high enthalpy aerodynamic structures in hypersonic shock tunnel. Currently he is pursuing PhD on vibrating microcantilever array in liquid for biosensing applications at Institute of Physics, University of

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Natalija Backmann received her diploma in chemistry from Moscow State University, Moscow in 1991 and a PhD degree from Free University of Berlin (Faculty of Biology, Chemistry and Pharmacology), Germany in 1999. In 1998–2002, she worked as a research scientist at Institute of Molecular Biology and Biotechnology (Free University of Brussels, Belgium) on engineered antibody fragments. Currently she works as a research scientist at University of Basel, Switzerland (Institute of Physics). Her main research interests include development of cantilever-based sensors for detection of proteins, immobilization of functional proteins on sensor surface and application of recombinant antibody fragments and antibody-like molecules.

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