LIST I Sophister Module

HI3425 - Empire and Papacy in the Eleventh Century

ECTS Value: 20

(Professor Robinson)

This is a year-long module, consisting of three hours of classes a week (two lectures and one seminar) during Michaelmas and Hilary Terms.

HI3425 - Learning Outcomes

On successful completion of this module, students should be able to:

✔ Outline the principal political, ecclesiastical, social and cultural developments relating to empire and papacy in the period 1024-1085

✔ Identify and contextualize the main interpretative trends and problems of the period

✔ Construct an individual reading programme among the leading interpretative accounts of the period

✔ Undertake an advanced analysis of a wide range of primary sources relating to the principal interpretative problems of the period

✔ Apply different techniques of evaluation and interpretation to these sources

✔ Criticise the leading scholarly contributions to the field in the light of those sources
Provide an individual synthesis based on a reading of the primary sources and secondary commentaries

Defend such a synthesis in written and oral presentations.

Empire and Papacy in the Eleventh Century

LECTURE TOPICS

1. Kingship in eleventh-century Germany: the exercise of royal authority
2. The German nobility in the eleventh century
3. The reign of Conrad II: the establishment of the dynasty
4. Warfare in the reign of Conrad II
5. Opposition to Conrad II in the three kingdoms
6. Conrad II, Henry III and the imperial Church
7. Henry III's conception of empire
8. Rome and reform in the reign of Henry III
9. The ducal office in the reign of Henry III: Southern Germany and Lotharingia
10. The duchy of Saxony in the reign of Henry III
11. The empire during the minority of Henry IV
12. The politics of imperial Italy and the papal reform, 1049-1073
13. Henry IV and Saxony, 1065-1075
14. Henry IV, Pope Gregory VII and Milan
15. Henry IV, Gregory VII and the German episcopate, 1073-1076
16. Worms – Tribur-Oppenheim – Canossa, 1076-1077
17. The anti-kingship of Rudolf of Rheinfelden, 1077-1080
18. Henry IV's second expedition to Italy
19. Gregory VII and his allies, 1080-1085

20. The council of Mainz, 1085: Henry IV at the height of his power

SPECIAL SUBJECT I

ESSAY SCHEDULE AND EXAMINATION

Essays
The written work for List I courses (as set out in the course Handbook) must include two compulsory essays. The first, the preliminary essay (Essay A) to be written in Michaelmas Term, the second, the Moderatorship essay (Essay B) to be written in Hilary Term.

Essays should be no longer than 3,000 words.
The topics of the preliminary and Moderatorship essays must be discussed and agreed in advance with the course teacher.

The timetable for these two particular essays is as follows:
Essay A: Michaelmas Term, Monday, 28 November 2011
Essay B: Hilary Term, Monday, 5 March 2011

Essay B must be delivered to the Departmental Office (Room 3118).
Please note that the Moderatorship essay (Essay B) will not be accepted unless the preliminary essay (Essay A) has already been received.
Essay B cannot be accepted late unless there is (a) a medical certificate covering the entire period of the delay or (b) an extension granted in advance by the Head of Department (Dr Ditchburn).

Examination
The examination consists of three elements:
(1) Paper I (Essay Paper of three hours duration: twelve questions, of which three are to be answered), counting as one examination paper
(2) Paper II (Document Paper of three hours duration: four questions to be answered, each question containing four extracts, of which the candidate comments on one), counting as one examination paper
(3) Essay B (Moderatorship Essay), counting as half of one examination paper.
Special Subject I: Empire and Papacy in the Eleventh Century

MICHAELMAS TERM: TUTORIAL PROGRAMME

WEEK 1  Document Class:  Salian diplomas
Royal and imperial diplomas (charters) and the nature of the authority of Salian monarchs

WEEK 2  Document Class:  Herman of Reichenau, Chronicle
Reading: 56

WEEK 3  The German aristocracy in the eleventh century
(a) nobles and nobilissimi: noblemen and princes, the various ranks of the nobility
Reading: 27,29,30,31,32.  Document: letter of Lady Beatrix to Bishop Udo of Hildesheim, 1079/80 [available from Room 3145]
(b) ministeriales: their status and functions
Reading: 33,34,35,36,37. Document: the earliest statutes of ministeriales, Limburg and Bamberg [available from Room 3145]

WEEK 4  Ruodlieb: a fictional narrative of circa 1050
(a) noble advancement and the duties of the nobility, as illustrated by Ruodlieb
(b) the ideal of kingship, as illustrated by Ruodlieb
Reading: 26,27,28

WEEK 5  The idea of monarchy in the eleventh-century Empire
(a) coronations and crown-wearings
Reading: 6,14,15,16(Nelson),38,39,118. Documents: Wipe's account of the royal coronation of Conrad II; Imperial coronation ordo C; Benzo of Alba on the imperial coronation [available from Room 3145]
(b) 'sacral kingship' and the Church
Reading: 6,10,16(Nelson),38,39,40,41,42,43,44. Document: Abbot Bern of Reichenau, letters to Henry III [available from Room 3145]
(c) a sacral king: the reputation of Henry III among reformers, courtiers and critics
Reading: 6,7(Vollrath),9,10,11,12,57,59.; primary source: 56

WEEK 6  The reign of Conrad II
(a) Conrad II in Germany: 'sacral king', warlord and emperor
Reading: 50 (see also the editor's introduction to this text); 7(Vollrath),9,10,52. Additional document: Ralph Glaber, Histories Book III, IV [available from Room 3145]
a) Conrad II's reputation as a simoniac
Reading: 6,7(Cowdrey),9,10,50,52
(c) Conrad II, the kingdom of Italy and Aribert of Milan
Reading: 7(Vollrath, Tabacco),50,52,53. Document: Constitutio de feudis, 1037 [available from Room 3145]
WEEK 7  Reading Week

WEEK 8  Document Class:  Wipo, Deeds of Emperor Conrad II

WEEK 9  The reform of the papacy, 1046-1054
(a) Roman and imperial politics and the synod of Sutri, 1046
Reading: 6,7(Blumenthal),114,117,118,122,124; primary source: 147
(b) Pope Leo IX and the imperial court
Reading: 6,7(Blumenthal),9,11,57,114,117,122,127; primary sources: 56,125,147
(c) Leo IX and the reform of the Church
Reading: 6,7(Blumenthal),10,11,114,117,118,122,127,150; primary sources: 56,125,147

WEEK 10  Document Class:  The Anonymous Life of Pope Leo IX
Reading: 125

WEEK 11  Henry III and the princes
(a) The career of Godfrey of Verdun ('the Bearded') of Lotharingia
Reading: 7(Vollrath),9,58,60; primary source: 56
(b) The career of Archbishop Adalbert of Hamburg-Bremen
Reading: 6,9,62,63; primary source: 61
(c) The attitude of the princes towards Henry III; the crisis of 1055
Reading: 2,7(Vollrath),58,60; primary source: 56

WEEK 12  Southern Italy and the papacy
(a) Pope Leo IX, Byzantium and the Normans
Reading: 6,7(Blumenthal, Loud),83,85,114,122,127128,131; primary sources: 56,125,147
(b) The career of Robert Guiscard, duke of Apulia and Calabria
Reading: 7(Loud),83,84,85,86,114,119 (beginning of chapter 10)
(c) The relations of the Normans and the papacy, 1049-1086, with special reference to the alliance of 1059
Reading: 83,84,85,86,87,108,114,117,119 (beginning of chapter 10),15

HILARY TERM:  TUTORIAL PROGRAMME

WEEK 1  The reform movement in Milan
(a) The Patarine movement in Milan (to 1075): leaders and aims
(b) The attitude of the papacy towards Milan and papal interventions, 1057-1075
Reading: 10,109,110,111,113,122,150; primary source: 144,147
(c) The career of Hildebrand before 1073
Reading: 150; primary source: 147,148

WEEK 2  The college of cardinals
(a) The transformation of the functions of the cardinals in the second half of the eleventh century
Reading: 6,7(Cowdrey, Robinson), 114,118,119(beginning of chapter 2),139,150
(b) The papal election decree of 1059 and the schism of 1061
**Reading:** 6,7(Blumenthal),104,119(chapter 2),137,139,150; primary source: 134
*(Letter 89)*
(c) Cardinal Humbert of Silva Candida on the supremacy of the Roman church
**Reading:** 6,10,122,129,130,131,132,135,150

**WEEK 3**  
**Peter Damian and the reform papacy**  
(a) Peter Damian: his career and relations with the reform papacy  
**Reading:** 6,10,134 (volume 1, introduction),135,136,137  
(b) Peter Damian's reforming ideas  
**Reading:** 135,136; primary source: 134  
(c) The campaign against clerical marriage, 1049-1085  
**Reading:** 7(Robinson),150,152,153; primary sources: 134,147

**WEEK 4**  
**Pope Gregory VII and reform**  
(a) Gregory VII and the primacy of the papacy  
**Reading:** 6,7(Robinson),118,150,151,158; primary sources: 144,145  
(b) Gregory VII's relations with the bishops  
**Reading:** 6,7(Robinson),118,150,158,159,160,161; primary sources: 144,145  
(c) Gregory VII's idea of kingship  
**Reading:** 6, 7(Robinson, Blumenthal),118,119 (relevant sections of chapters 8 and 11),150; primary sources: 144, 145

**WEEK 5**  
**Document Class: Bonizo of Sutri, *To a Friend* (1)**  
Reading: 147

**WEEK 6**  
**Henry IV and his Opponents**  
(a) Henry IV's ideas of royal and imperial authority  
**Reading:** 38,68,74(chapter 2),118; primary source: 67  
(b) Henry IV and his advisers  
**Reading:** 62,67,72,74(chapter 2); primary sources: 66,67  
(c) The career of Otto of Northeim  
**Reading:** 68,70,71,75; primary source: Bruno of Merseburg, *The Saxon War*  
[extract](available from Room 3145)  
(d) The career of Rudolf of Rheinfelden  
Reading: 68,75,150; primary sources: 64, 65, 148

**WEEK 7**  
**Reading Week**

**WEEK 8**  
**Document Class: Approaches to answering the Document Paper**

**WEEK 9**  
**Document Class: Bonizo of Sutri, *To a Friend* (2)**  
Reading: 147

**WEEK 10**  
**Document Class: Bonizo of Sutri, *To a Friend* (3)**  
Reading: 147
ESSAY A (Michaelmas Term)

1. Do you agree with the opinion of Christopher Brooke that sacral kingship was 'by no means a pure matter of theory'?

2. Analyse the structure of the aristocracy in the kingdom of Germany in the eleventh century.

3. Discuss the attitude of Conrad II to the Church in his empire.

4. Analyse the aims and effectiveness of princely opposition and rebellion in the reign either of Emperor Conrad II or of Emperor Henry III.

5. Discuss the motives for Henry III's intervention in Rome in 1046 and his close relationship with the papacy in the following decade.

6. Comment on the reforming aims and methods of Pope Leo IX.

7. Analyse the sources of conflict in the archdiocese of Bremen in the period 1043-1072.

8. Analyse the changing policy of the reform papacy towards the Norman princes of southern Italy between the pontificate of Leo IX and that of Gregory VII.

9. Discuss the changes in the status and duties of the cardinals in the period 1049-1085.

10. What was at issue in the conflict between the reform movement and the secular and ecclesiastical establishment in Milan?

11. What was at issue in the rebellion of the Saxon nobility against Henry IV in
1073-1075?

12 Discuss Gregory VII's conception of the authority of the papacy.

13 Why did Henry IV enact the measures of the council of Worms (January 1076) and why did he reverse his policy in October 1076?
14 Analyse the role of the secular allies of Pope Gregory VII in his conflict with Henry IV.

ESSAY B Moderatorship Essay (Hilary Term)

1. The date of composition, the authorship of *Ruodlieb* and allusions to contemporary events in the poem.

2. The role of kingship and the duties of nobility in Christian society according to *Ruodlieb*.

3. The portrayal of Conrad II in Wipo's *Deeds of Emperor Conrad II*.

4. Opposition to the emperor in Wipo's *Deeds of Emperor Conrad II*.

5. Criticism of Emperor Conrad II in the eleventh-century sources.

6. The portrayal, positive and negative, of Emperor Henry III in the eleventh-century sources.

7. Attitudes towards kingship, Church and papacy in Herman of Reichenau's *Chronicle*.

8. Contrasting versions of the Synod of Sutri (1046) in the eleventh-century sources.

9. The portrayal of Bishop Bruno of Toul/Pope Leo IX in the anonymous *Life of Pope Leo IX*.


11. The attitude of Adam of Bremen towards Archbishop Adalbert of Bremen in his
History of the archbishops of Hamburg and Bremen Book III.

12. The role of the Normans in southern Italy up to 1053.


14. The career of Abbot Desiderius of Monte Cassino: his relations with the Normans and the papacy.

15. The portrayal of papal-Norman relations in the *History* of Amatus of Monte Cassino


17. Cardinal Peter Damian of Ostia in the service of the reform papacy.

18. The reform papacy and the campaign against clerical marriage, 1049-1085.

19. The 'monastic policy' of the reform papacy: the papacy's attitude towards Cluny.

20. Historian or polemicist? The *Chronicle* of Berthold of Reichenau.

21. The portrayal of King Henry IV of Germany in the pro-papal sources of the later eleventh century.

22. Contrasting versions of the events at Canossa (January 1077) in the eleventh-century sources.

23. Pope Gregory VII's view of the role of the episcopate as presented in his letters.

24. Pope Gregory VII's view of kingship as presented in his letters.

25. The portrayal of Rudolf of Rheinfelden in the pro-papal sources.

26. The purpose of Bonizo of Sutri's *Book to a Friend*.

27. Holy war in Bonizo of Sutri's *Book to a Friend*. 
The significance of canon law for the reform papacy, 1050-1085.

SOPHISTER SPECIAL SUBJECT 1

EMPIRE AND PAPACY IN THE ELEVENTH CENTURY

BIBLIOGRAPHY

Surveys of Imperial and Papal History
1. J. Fleckenstein, Early medieval Germany (English translation, 1978)
2. T. Reuter, Germany in the early Middle Ages (1991)
3. H. Fuhrmann, Germany in the High Middle Ages, c. 1050-1250 (English translation, 1986)
4. A. Haeverkamp, Medieval Germany, 1056-1273 (English translation, 1988)
5. B. Arnold, Medieval Germany, 500-1300. A political interpretation (1997)
6. G. Tellenbach, The Church in western Europe from the tenth to the early twelfth century (English translation, 1993).
7. The New Cambridge Medieval History volume 4, Parts I and II
See especially the chapters:
   H.E.J. Cowdrey, 'The structure of the church, 1024-1073', I, 229-267
   I.S. Robinson, 'Reform and the church, 1073-1122', I, 268-334
   G. Constable, 'Religious communities, 1024-1215', I, 335-367
   U.-R. Blumenthal, 'The papacy, 1024-1122', II, 8-37
   H. Vollrath, 'The western empire under the Saliens', II, 38-71
   G. Tabacco, 'Northern and central Italy in the eleventh century', II, 72-93
   G.A. Loud, 'Southern Italy in the eleventh century', II, 94-11

Surveys of the history of ideas
11. G. Tellenbach, Church, state and Christian society at the time of the Investiture Contest (English translation, 1940)
12. R. Folz, The concept of empire in western Europe from the fifth to the fourteenth century (English translation, 1969)
14. F. Kern, Kingship and Law in the Middle Ages (English translation, 1939)
15. R.W. Carlyle and A.J. Carlyle, A history of medieval political theory in the west volumes 3 and 4 (1932) [includes translated extracts from the primary sources]
   R. van Caenegem, 'Government, law and society' pp. 174-210
   J. Nelson, 'Kingship and empire' pp. 211-51
   I.S. Robinson,'Church and papacy' pp. 252-305
18. R.I. Moore, 'Family, community and cult on the eve of the Gregorian reform', Transactions of the Royal Historical Society ser. 5, 30 (1980), 49-69

The structure of eleventh-century society

The aristocracy
29. G. Tellenbach, 'From the Carolingian imperial nobility to the German estate of imperial princes' in: *The medieval nobility* ed. T. Reuter (1978)

The ministeriales (unfree knights)
33. B.Arnold, *German knighthood, 1050-1300*(1985), especially: Introduction, chapters 1, 2, 8
34. K. Bosl, "Noble unfreedom": the rise of the ministeriales in Germany in: *The medieval nobility* ed. T. Reuter (1978)
37. J.B. Freed, ' Nobles, ministerials and knights in the archdiocese of Salzburg', *Speculum* 62 (1987) [parts 1 and 2]

The monarch and the imperial Church: 'sacral kingship'
40. K. Leyser, Rule and conflict in an early medieval society: Ottonian Saxony (1979)
Part III
42. J.W. Bernhardt, Itinerant kingship and royal monasteries in early medieval Germany, c. 936-1075 (1993)
43. E.H. Kantorowicz, Laudes regiae: a study in liturgical acclamations and medieval ruler worship (1958)
45. C.S. Jaeger, The courtier bishop in Vitae from the tenth to the twelfth century', Speculum 58 (1983) [The term Vitae means 'biographies']

Political history
48. B. Arnold, Princes and Territories in medieval Germany (1991)
48a. J.B. Gillingham, The kingdom of Germany in the High Middle Ages (Historical Association pamphlet, 1971)
52a. K.J. Benz, 'Emperor Conrad II and the Church' [trans. I.S. Robinson, available from Room 3144]
55. K. Norgate, 'Odo of Champagne, count of Blois and "tyrant of Burgundy"', English Historical Review 5 (1890), 486-496
57. P. Kehr, 'Four chapters from the history of Henry III' [trans. I.S. Robinson, available from Room 3144]

60. E. Bosshof, The Empire in crisis' [trans. I.S. Robinson, available from Room 3144]


62. E.N. Johnson, 'Adalbert of Hamburg-Bremen', Speculum 9 (1934)


68. I.S. Robinson, Henry IV of Germany (1999)


70. K. Leyser, The crisis of medieval Germany', Proceedings of the British Academy 69 (1983); reprinted in the following collection of essays

71. K. Leyser, Communications and power in Medieval Europe (1994)

72. E.N. Johnson, 'Bishop Benno II of Osnabrück', Speculum 16 (1941)

73. K.F. Morrison, Canossa: a revision', Traditio 18 (1962)


74. I.S. Robinson, Authority and resistance in the Investiture Contest (1978)

75. I.S. Robinson, 'Gregory VII, the princes and the pactum, 1077-1080', English Historical Review 94 (1979)

76. P. Joachimsen, The Investiture Contest and the German constitution' in: Medieval Germany 2 ed. G. Barraclough (1938)

77. Z.N. Brooke, 'Lay investiture and its relation to the conflicts of empire and papacy', Proceedings of the British Academy 25 (1939)

78. B. Schmeidler, 'Franconia's place in the structure of medieval Germany' in: Medieval Germany 2 ed. G. Barraclough (1938)

79. J.K. Hyde, Society and politics in medieval Italy (1973)


81. C.W. Previté-Orton, The early history of the house of Savoy (1912)

82. F. Dvornik, The making of central and eastern Europe (1949)

Southern Italy in the eleventh century


84. G.A. Loud, Conquerors and churchmen in Norman Italy (1999)


86. G.A. Loud and A. Metcalfe (ed.), The society of Norman Italy (2002)

87. G.A. Loud, Church and society in the Norman principality of Capua, 1058-1197
88. **E. Joranson**, 'The inception of the career of the Normans in Sicily', *Speculum* 23 (1948), 353-96
89. **J. France**, 'The occasion of the coming of the Normans to southern Italy', *Journal of Medieval History* 17 (1991), 185-205
90. **G.A. Loud**, 'How "Norman" was the Norman conquest of southern Italy ?' *Nottingham Medieval Studies* 25 (1981), 13-34

*The Reform of the Church*
95. **K.F. Morrison**, The Church, reform and renaissance in the early Middle Ages' in: *Life and Thought in the early Middle Ages* ed. R.S. Hoyt (1967) On the economic problems of the Church:
96. **C.E. Boyd**, *Tithes and parishes in medieval Italy* (1952)
99. **R.S. Lopez**, 'An aristocracy of money in the early Middle Ages', *Speculum* 28 (1953)

*Monastic reform*
101. **N. Hunt** (ed.). *Cluniac monasticism in the central Middle Ages* (1971)
105. **N. Hunt**, *Cluny under St. Hugh*, 1049-1109 (1967)
107. **H. Bloch**, 'Monte Cassino, Byzantium and the west in the earlier Middle Ages', *Dumbarton Oaks Papers* 3 (1946)

*The Milanese reform movement*
112. **J.B. Russell**, *Dissent and reform in the early Middle Ages* (1965)
113. **R.I. Moore**, *The origins of European dissent* (1977)

### The reform of the papacy

114. **H. Jedin and J. Dolan** (ed.), *Handbook of Church History volume 3* (1968)
115. **G. Barraclough**, *The medieval papacy* (1968)
117. **C. Morris**, *The papal monarchy: the western Church from 1050 to 1250* (Oxford History of the Christian Church, 1989)
121. **P. Partner**, *The Lands of St Peter* (1972)
123. **D.B. Zema**, 'Economic reorganisation of the Roman see during the Gregorian reform', *Studi Gregoriani* 1 (1947) [obtainable as a Xerox copy from Library counter]
133. **J. Gilchrist**, 'Simoniaca haeresis and the problem of orders from Leo IX to Gratian' in: J. Gilchrist, *Canon Law in the Age of Reform, 11th-12th Centuries* (1993)


138. J. Gilchrist, 'Was there a Gregorian reform movement in the eleventh century?' in: J. Gilchrist, Canon Law in the age of Reform, 11th-12th centuries (1993)

139. S. Kuttner, 'Cardinalis: the history of a canonical concept', Traditio 3 (1945)


143. J.A. Brundage, Medieval Canon Law (1995)[chapter on Gregorian reform]

143a. Y. Milo, 'Dissonance between papal and local reform interests in pre-Gregorian Tuscany', Studi Medievali 3rd ser., 20 (1974) [available from Room 3145]

Pope Gregory VII


146. A. Murray, 'Pope Gregory VII and his letters', Traditio 22 (1966)


149. Benzo of Alba, To Emperor Henry IV [a polemic against the reform papacy] in: ibid., pp. 365-76


152. H.E.J. Cowdrey, 'Pope Gregory VII and the chastity of the clergy' in: ibid., III. 269-302


155. I.S. Robinson, 'Gregory VII and the soldiers of Christ', History 58 (1973)


158. I.S. Robinson, 'Periculosus homo: Pope Gregory VII and episcopal authority',
Special Subject I: Empire and papacy in the eleventh century

STYLE SHEET

These guidelines are intended as a supplement to the requirements for the presentation of essays found in the Course Handbook for History, which should be followed in all essays. These guidelines are of particular relevance to the presentation of the Moderatorship Essay (Essay B).

Bibliography and Footnotes
The bibliography should include all the works consulted in the course of writing the essay (even if they are not specifically cited in footnotes). It should be divided into two categories:

(A) Primary Sources  (B) Secondary Works

I (a) Bibliography: citing primary sources:
(1) Wipo, *The Deeds of Emperor Conrad* in T.E. Mommsen and K.F. Morrison (trans.), *Imperial Lives and Letters of the eleventh century* (New York, 1962) [author of primary source; title of work in italics; translator(s); title of collection]

II (b) Bibliography: citing secondary works:
(1) A monograph:
T. Reuter, *Germany in the early Middle Ages c. 800-1056* (London, 1991) [title in italics; place and date of publication in brackets]
(2) A chapter in a volume by various authors:
II. The following examples indicate the different ways in which primary sources may be cited in footnotes:

(1) Wipo, *The Deeds of Emperor Conrad* c. 2, in T.E. Mommsen and K.F. Morrison, *Imperial Lives and Letters of the eleventh century* (New York, 1962) p. 59. [It is recommended to give both the book (use Roman numerals) or chapter (abbreviated as 'c.') of a primary source and the page reference in the translation.]

(2) Herman of Reichenau, *Chronicle* 1039, trans. I.S. Robinson (seminar document) p. 28. [In the case of annals and chronicles, cites the year as well as the page.]


In footnotes each work should be cited in full on its first appearance. Thereafter it may be cited in an abbreviated form.

e.g. using the example from B(1) above on its second or subsequent appearance:

10 Reuter, *Germany* p. 90.  

Use the Latin abbreviation *Ibid.* when citing the same work in consecutive footnotes:


In the text always use the following simple form for dates: 25 January 1077 [day, month, year]. Do not use the American format [month, day, year].