**FACULTY OF HEALTH SCIENCES**

**RESEARCH Ethics application FORM**

PLEASE NOTE THE FOLLOWING:

* Incomplete and/or late applications will not be processed and will be returned to the applicants.
* Forms without the following signatures will not be processed: (1) Applicant(s), (2) Research Supervisor (applicable in student application), (3) all researchers named on the form.
* Forms without the checklist completed will not be processed.
* When collating please staple documents

|  |  |
| --- | --- |
| Applicant Name |  |
| Applicant Email |  |
| Are you a TCD staff member? | YES / NO ID No: |
| Are you a TCD student? | YES / NO ID No: |
| What School/Department are you affiliated to? |  |
| Supervisor Name |  |
| Supervisor Email |  |
| Working title of proposed study |  |
| is this a fasttrack application? | yes/no  N.B. If yes, the fasttrack cover sheet must be attached with your application |
| PLEASE IDENTIFY WHICH OF THE FOLLOWING APPLIES: | 1. AN UNDERGRADUATE PROJECT 2. AN ELEMENT OF A TAUGHT POST -GRADUATE   COURSE   1. A FULL TIME POST-GRADUATE RESEARCH PROJECT 2. STAFF RESEARCH PROJECTS |

RESEARCH APPLICATION INDEX

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Please complete the application form and return one signed hard copy to

Faculty of Health Sciences,

Ground Floor, Chemistry Building ,  
Trinity College, Dublin 2.

Please email your application in full to [ethicscommittee@tcd.ie](mailto:ethicscommittee@tcd.ie)

If you have any queries regarding the completion of this application form please email [ethicscommittee@tcd.ie](mailto:ethicscommittee@tcd.ie)

Notes:

If your proposal has been approved by the ST JAMES’S HOSPITAL AND FEDERATED DUBLIN VOLUNTARY HOSPITALS JOINT RESEARCH ETHICS COMMITTEE (JREC), since this body includes representation from Trinity College there is no requirement to seek approval from the Faculty Ethics Committee.

The Faculty Ethics Committee does not process applications for approval of projects that assess the effect of a drug or therapeutic substance. Approval for such studies must be sought through the Irish Medicines Board and ethics clearance should be obtained from the JREC

RESEARCH APPLICATION CHECKLIST

To process your application form efficiently you are required to fill in the checklist below. Do not leave any blanks. If this checklist is not completed, your application will not be processed.

|  |  |  |
| --- | --- | --- |
| Please TICK THE APPROPRIATE BOX | **Yes** | **No** |
| Are you undertaking the proposed research study in your capacity as: (a) a student of the Faculty of Health Sciences? Or |  |  |
| (b) a staff member of the Faculty of Health Sciences? |  |  |
| 1. Does the proposed research involve current students and / or staff of the Faculty of Health Sciences as research participants? |  |  |
| 1. If you are a student, has your supervisor endorsed the completed form? |  |  |
|  |  |  |
| **IF APPROPRIATE TO THE STUDY YOU SHOULD ATTACH THE FOLLOWING:** |  |  |
| * 1. the consent form you propose using   2. the letter(s) to prospective participants seeking their co-operation with the study   3. the participant information leaflet you propose using   4. for the purpose of your proposed study, if you require access to: (i) a site outside your home department/School, and/or (ii) the person who is responsible for the welfare of your proposed participants   please attach the letter seeking access   * 1. If the study requires ethical approval by ethics   committees of any other institutions, outside of the  St. James’s Hospital and Federated Dublin Voluntary  Hospitals Joint Ethics Research Committee (J.R.E.C.),  please attach a copy of the responses received from these  committees   * 1. If relevant to this study please attach a copy of the   tool(s) of data collection you propose using  (Questionnaire / interview schedule / observation  schedule/other) |  |  |

**TRINITY COLLEGE**

**Faculty of Health Sciences**

**RESEARCH ETHICS APPLICATION FORM**

**CONFIDENTIAL**

*Please complete all information relevant to your application*

SECTION 1 – APPLICANTS’ DETAILS

**1.1 Name, qualification and position of each person associated with this research project.**

*List details of all personnel involved with the research (excluding participants)*

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Investigator**  **Title / First name / Surname** | **Title of Study** | **Postal Address *(Please note that approval will be posted to this address)*** | **Email address** | **Tel No**  **Work / Home** | **Role in research** | **Primary Employer (Hospital / University / Other)** | **Current Occupation** |
|  |  |  |  |  |  |  |  |
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|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
| **Supervisor (if investigator is a student)** |  | **Postal Address *(Please note that approval will be posted to this address)*** | **Email address** | **Tel No**  **Work / Home** | **Role in research** | **Primary Employer (Hospital / University / Other)** | **Current Occupation** |
|  |  |  |  |  |  |  |  |

SECTION 2 – DETAILS OF RESEARCH STUDY & PARTICIPANT SELECTION

2.1 Working title of proposed study

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| --- |
|  |

**2.2 Dates & Duration of Study**

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| --- | --- | --- | --- |
| Proposed Start Date: |  | Proposed End Date: |  |

* 1. **What are the primary location(s) for data collection? (e.g. classroom, participant’s home,**

**hospital/clinic, laboratory, place of convenience for participant)**

|  |  |
| --- | --- |
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* 1. **State research aim(s) and objective(s), research question or hypothesis (as appropriate)**

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* 1. **Provide brief outline of the project** (**maximum 400 words**, must include background, research approach, design, data collection methods, sampling, indicate the method of sampling you intend to use and the sample size

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* 1. If appropriate please identify how participants will be recruited and what steps you will take to

access the sample, specifying details of people who will be contacted during this process:

|  |
| --- |
|  |

* 1. **List your exclusion/inclusion criteria for participant selection:**

|  |
| --- |
| Inclusion criteria:  Exclusion criteria: |

**SECTION 3 – CONSENT, CONFIDENTIALITY (INCLUDING DATA PROTECTION)**

**3.1** Will informed consent be obtained from the research participants?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| YES |  |  | NO |  |  |
|  |  |  |  |  |  |

If yes, please give details of **who** will take consent and **how** it will be done.

(Please attach a copy of letter, consent form (if required) and information leaflet. See guidelines on how to prepare these documents in Appendices and adapt examples accordingly to suit your study and participants)

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* 1. **What is the time interval between giving information and seeking consent?**

*(It is recommended that a period of seven days be provided for reflection. If less than this, please justify).*

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**3.3 Will the participants be from any of the following groups (tick as appropriate)**

|  |  |  |
| --- | --- | --- |
|  | **INVOLVEMENT** | |
|  | **YES** | **NO** |
| Children under 18 years of age |  |  |
| Adults with learning disabilities |  |  |
| Adults with communication difficulties |  |  |
| Adults who are unconscious or very severely ill |  |  |
| Adults who have a terminal illness |  |  |
| Adults with mental illness |  |  |
| Adults suffering from dementia |  |  |
| Prisoners |  |  |
| Young Offenders |  |  |
| Those who could have been considered to have a particularly dependent relationship with the investigator, e.g. those in care homes, students |  |  |
| Other groups who may be considered vulnerable (Please specify below) |  |  |
|  |  |  |

3.4 If participants are to be recruited from any of the potentially vulnerable groups listed above, please give details of:

|  |
| --- |
| 1. the extra steps taken to ensure that participants from any of these vulnerable groups are as fully informed as possible about the nature of their **involvement**;   (b) who will give consent;   1. how consent will be obtained (e.g. will it be verbal, written or visually indicated?) 2. When consent will be obtained 3. The arrangements that have been made to inform those responsible for the care of the research   participants of their involvement in research |

* 1. Will participants include women of childbearing potential?

|  |  |  |
| --- | --- | --- |
| YES | NO | **If No, please explain Why**  **Note: This information is required regardless of whether there are potential implications for the well-being of participants** |
|  |  |  |

* 1. If women of childbearing potential are to be involved, do the study design and the participant

information sheet address the 9 essential points listed in the accompanying checklist (Appendix 3)?

|  |  |  |  |
| --- | --- | --- | --- |
| YES | NO | N/A | **If No, please explain Why**  **Note: This information is required regardless of whether there are potential implications for the well-being of participants** |
|  |  |  |  |

3.7 During and after the study, what steps will you take to protect the confidentiality of:

|  |
| --- |
| 1. participant identities? 2. data collected and patient/client records?   (c) hardcopy records? |

* 1. Is there any potential confidentiality issue through identification of the study location?

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* 1. If your data is to be held on computer, how will it be protected?

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|  |

* 1. **What other person(s) other than the researcher/team as listed will have access to the data collected**

**and what steps will be done to protect confidentiality?**

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* 1. Accepted best practice recommends secure retention of data for 5 years. If there is any reason to

apply for variation from these guidelines, please give details and justify;

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* 1. **If identifiable data or material will be retained after the study is completed, is it stated on the**

**informed consent form that this will be done and that material will not be used in future unrelated**

**studies without further specific permission being obtained?**

|  |  |  |
| --- | --- | --- |
| **YES** | **NO** | If No, please explain Why |
|  |  |  |

**3.13 If the study involves audio taping interviews, you must allow the participant access to the transcript, if they so wish. This must be included in the Informed Consent Form and Information Leaflet (if these forms are being used). Will the participant be given access to a transcript of the audio tape interview?**

|  |  |  |  |
| --- | --- | --- | --- |
| **YES** | **NO** | **N/A** | **If No, please explain Why** |
|  |  |  |  |

###### 4 - RISK, BENEFIT AND HARM

* 1. **Are there ethical issues or problems which may arise with the proposed study, and what steps will**

**be taken to address these?**

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|  |

* 1. **What is the potential for an adverse outcome (for example, illness, pain, discomfort, distress,**

**inconvenience) for research participants? NOTE: for the protection of both the investigator and**

**the participant, this list must be comprehensive and must also appear in full in the participant**

**information leaflet.**

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* 1. If there is potential for an adverse outcome, please indicate what steps you will take in the case of

an adverse outcome/results for participants.

\*\* Please note that any substantive adverse events *must*  be reported to the Faculty Research Ethics

Committee via [ethicscommittee@tcd.ie](mailto:carol.balfe@tcd.ie)

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* 1. **Will individual or group interviews/questionnaires discuss any topics or issues that might be**

**sensitive, embarrassing or upsetting, or is it possible that criminal or other disclosures requiring**

**action could take place during the study (e.g. during interviews/group discussions, or use of**

**screening tests for drugs)?**

***If Yes, give details of procedures in place to deal with these issues***

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* 1. **If participants are to undergo a clinical assessment, what is the nature and extent of this assessment?**

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| --- |
|  |

* 1. **If applicable will there be ongoing clinical supervision of the participants by a duly insured clinical practitioner during the study?**

|  |
| --- |
|  |

* 1. **Will the research participant’s General Practitioner be informed that they are taking part in the**

**study?**

|  |  |  |
| --- | --- | --- |
| **YES** | **NO** | **NOT APPLICABLE** |
|  |  |  |

* 1. **Will permission be sought from the research participants to disclose information (for example,**

**information about adverse outcomes) to their GP?**

|  |  |  |
| --- | --- | --- |
| **YES** | **NO** | **NOT APPLICABLE** |
|  |  |  |

**4.9 What is the potential for benefit for research participants?**

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| --- |
|  |

* 1. **Are there elements of genetic testing involved in the proposed project? If Yes please explain.**

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#### SECTION 5 - FUNDING & PAYMENT

* 1. **Outline sources of funding for the study if applicable and how you will manage any possible**

**conflict between the funders of the study and the aims and results of the study if applicable?**

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* 1. **Will payment be made to research participants?**

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| --- | --- | --- |
| **YES** | **NONE OTHER THAN MINIMAL EXPENSES TO COVER TRAVEL COSTS ETC** | **NO** |
|  |  |  |

**5.3** **If you answered YES to question 5.2, please specify for what purpose the payment will be made and the amount per participant.**

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**SECTION 6 – ETHICAL APPROVAL FROM OTHER COMMITTEES**

Ethical approval from the Faculty Research Ethics Committee, if granted, does not supersede any requirements that outside bodies may have that similar applications be made to local ethical approval bodies in advance of the study commencing.

**6.1 Has ethical approval been sought from any other organisation(s) in which the study will take place?**

|  |  |  |
| --- | --- | --- |
| YES |  | (If you answer YES go to question 6.2) |
| NO |  | (If you answer NO go to question 6.3) |
| N/A |  | (If N/A please explain why below) |

* 1. **If you have answered YES to question 6.1, where has approval been sought from and has ethical**

**approval been given?**

|  |  |  |  |
| --- | --- | --- | --- |
|  | | | |
| **YES** | **Awaiting Reply** | **NO** | If No, please explain Why |
|  |  |  |  |

* 1. **If you have answered NO to question 6.1, is it your intention to seek ethical approval from the**

**organisation(s) in which the study will take place?**

|  |  |  |
| --- | --- | --- |
| YES | NO | **If No, please explain Why** |
|  |  |  |

**SECTION 7 - DECLARATION OF APPROVAL AND SIGNATURES**

#### LEAD INVESTIGATOR

**The lead investigator must provide all data below and sign:**

* 1. **If applicable please state briefly what preparatory work you will need to undertake to become competent in your chosen method of data collection (e.g. training in the use of a standardised schedule/test, clinical procedures, or practice in conducting an interview)**

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#### LEAD INVESTIGATOR DECLARATION:

**7.2** I confirm that the information provided in this protocol is correct, that I am not aware of any other ethical

issue not addressed within this form and that I understand the obligations to and the rights of participants (particularly concerning their safety and welfare, the obligation to provide information sufficient to give informed consent, the obligation to respect confidentiality and all the obligations as set out in the **Declaration of Helsinki** (appendix attached) governing the conduct of research involving human participants) and/or other relevant guidelines (please refer to your Head of Department/School)

* I undertake to provide an annual report **within twelve months of the date of approval** to the Faculty Research Ethics Group with details of the number of participants who have been recruited, the number who have completed the study and details of any adverse effects. Any serious adverse effects will be reported immediately to the Faculty Research Ethics Group, and, if involving medication this will also be reported to the Irish Medicines Board.

|  |  |  |  |
| --- | --- | --- | --- |
| NAME:(BLOCK CAPITALS) |  | | |
| STAFF / STUDENT I.D. No. |  | | |
| SCHOOL / DEPARTMENT: |  | | |
| COURSE OF STUDY: **(if appropriate)** |  | **YEAR** |  |
| SIGNATURE: |  | **DATE:** |  |

**PLEASE NOTE THAT IF THERE IS MORE THEN ONE APPLICANT, ALL APPLICANTS MUST SIGN THE APPLICATION FORM.**

|  |  |  |  |
| --- | --- | --- | --- |
| NAME:(BLOCK CAPITALS) |  | | |
| STAFF / STUDENT I.D. No. |  | | |
| SCHOOL / DEPARTMENT: |  | | |
| COURSE OF STUDY: **(if appropriate)** |  | **YEAR** |  |
| SIGNATURE: |  | **DATE:** |  |

|  |  |  |  |
| --- | --- | --- | --- |
| NAME:(BLOCK CAPITALS) |  | | |
| STAFF / STUDENT I.D. No. |  | | |
| SCHOOL / DEPARTMENT: |  | | |
| COURSE OF STUDY: **(if appropriate)** |  | **YEAR** |  |
| SIGNATURE: |  | **DATE:** |  |

|  |  |  |  |
| --- | --- | --- | --- |
| NAME:(BLOCK CAPITALS) |  | | |
| STAFF / STUDENT I.D. No. |  | | |
| SCHOOL / DEPARTMENT: |  | | |
| COURSE OF STUDY: **(if appropriate)** |  | **YEAR** |  |
| SIGNATURE: |  | **DATE:** |  |

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| --- | --- | --- | --- |
| NAME:(BLOCK CAPITALS) |  | | |
| STAFF / STUDENT I.D. No. |  | | |
| SCHOOL / DEPARTMENT: |  | | |
| COURSE OF STUDY: **(if appropriate)** |  | **YEAR** |  |
| SIGNATURE: |  | **DATE:** |  |

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| RESEARCH SUPERVISOR Student applicants are required to have their Research Supervisor complete this section.  Name of Supervisor:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  (BLOCK CAPITALS)  Position: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  State the educational value of this research:  As the student’s supervisor, I accept responsibility for the ethical conduct of this project:  Signature of the Supervisor:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

**Office Use Only:**

|  |  |
| --- | --- |
| Reference Number |  |
| ***Faculty Research Ethics Committee Meeting Date*** |  |
| ***Approved*** |  |
| ***To be resubmitted*** |  |
| ***Date*** |  |