Sick Leave Absence Management Policy

INTRODUCTION

Trinity College Dublin, the University of Dublin is committed to safeguarding employees’ health, safety and welfare at work and seeks to provide employees with appropriate support and advice at all times.

The University’s Sick Leave Absence Management Policy sets out the roles and responsibilities of employees, Heads of Schools/Administrative and Support Areas* and Human Resources in managing sick leave absence.

*While the Heads of Schools/Administrative and Support Areas are ultimately responsible, the day-to-day management of sick leave absence is likely to be the responsibility of the direct Manager.

1. SCOPE

All University employees are covered by the provisions of the Sick Leave Absence Management Policy. This policy should be read in conjunction with the University’s Sick Leave Policy [http://www.tcd.ie/hr/assets/pdf/Policy33-sickleave.pdf](http://www.tcd.ie/hr/assets/pdf/Policy33-sickleave.pdf)

2. PURPOSE

The purpose of the University’s Sick Leave Absence Management Policy is to promote and facilitate improved attendance levels amongst University employees by managing sick leave absence in a fair, reasonable and consistent manner.
3. POLICY DETAILS

Whilst employees have a responsibility to the University as their employer and to their colleagues to attend work and fulfil their contract of employment, the University recognises that employees may suffer ill-health, on occasion, throughout their employment.

The University is committed to meeting its responsibilities to support employees who experience ill-health. The University provides a number of mechanisms to support employees during times of ill-health, such as the provision of a Sick Leave Policy, the Employee Assistance Programme and where necessary, the University’s Occupational Health Service.

All absences referred to in this policy are sick leave related absences.

4. EMPLOYEES

4.1 Responsibilities of Employees

- To attend work as per their contract of employment
- To take responsibility for their own health, safety and welfare
- To advise their Manager of any circumstance that may affect their attendance
- To comply with the notification and certification procedure in accordance with the University’s Sick Leave Policy
- To ensure that they are fully aware who to contact, by telephone, to advise of a sick leave absence
- Where eligible, to apply for illness benefit payments from the Department of Social Protection and submit the full value of any payment received to the University, when in receipt of sick pay. Failure to comply with this will result in the full value of any illness benefit payment due to the employee being deducted from their salary
• To maintain frequent (weekly) contact with their Manager, by telephone, whilst absent on sick leave. Employees on long term sick leave absence should maintain regular contact with their Manager to keep them updated on their progress

• To attend any occupational health appointment that is arranged by the University and to adhere to any recommendations/outcomes arising from that assessment

• To familiarise themselves with the University’s Sick Leave Policy and the sick pay benefits outlined therein http://www.tcd.ie/hr/assets/pdf/Policy33-sickleave.pdf

• To comply with the provisions of the University’s Sick Leave Absence Management Policy (i.e. this policy)

• To avail of appropriate support services, as necessary

• To cooperate fully with reasonable rehabilitative measures to facilitate a return-to-work as quickly as possible

• To minimise absences arising from accidents or ill-health by complying with health & safety requirements

4.2 Procedure for Employees for Reporting Sick Leave Absence and Returning to Work

All employees are required to inform their Manager if they are unable to attend work due to illness in accordance with the University’s Sick Leave Policy, as follows:

• They must notify their Manager, by telephone, at the earliest opportunity on the first day of absence. This notification should indicate whether or not a certificate will be furnished and the likely return to work date. Text or email is only acceptable when the employee attempts to telephone their Manager, are unable to get through and / or are unable to leave a voicemail

• A medical certificate is required from the third day of absence and must be submitted to the Manager as soon as possible thereafter. To be acceptable, a medical certificate must:
  i. State the name and address of the employee
ii. State fitness to work or otherwise

iii. State the dates for which the employee is covered, which is normally for a period of no more than one week

iv. Be signed by a duly qualified medical practitioner

- If the illness persists, additional medical certificates must be submitted on a weekly basis, prior to the expiration of the last medical certificate, in accordance with the University’s Sick Leave Policy
- In exceptional circumstances, monthly medical certificate may be submitted with the agreement of the Manager in consultation with Employee Relations
- Employees are expected to cooperate with interventions to assist them to return to work e.g. Employee Assistance Programme, Occupational Health Service etc.

4.3 Unauthorised Absence

Employees who do not attend work due to illness, or any other reason, and who have not notified their Manager as to the reason for their absence will be deemed to be on unauthorised absence from work, which may result in loss of pay and the matter being dealt with under disciplinary procedures.

5. MANAGERS

5.1 Responsibilities of Managers

- To promote and encourage high levels of attendance
- To keep accurate and up-to-date sick leave records for all employees for whom they are responsible
- To ensure compliance with notification and certification procedures in accordance with the University’s Sick Leave Policy
- To notify Employee Relations of an employee’s sick leave absence if it is greater than two weeks or immediately if the medical certificate states 'stress', work related or otherwise
To ensure an employee’s sick leave record is assessed at the start of each sick leave absence. There are many factors for consideration such as sick pay benefits, occupational health assessment, extended paid sick leave assessment under Critical Illness Protocol, Income Continuance claims etc., as applicable

To inform Employee Relations in a timely manner when an employee’s full and half sick pay benefit will exhaust

To inform Employee Relations of an employee’s anticipated and actual return to work from sick leave

To seek support and advice from Employee Relations regarding the management of sick leave absence

To ensure that employees are aware who they should contact, by telephone, in the event that they are absent due to illness or injury and that all employees have the necessary contact details

To advise employees when their sick leave record is a cause for concern (e.g. frequent or persistent short-term sick leave absence, frequent uncertified sick leave etc.) and of the necessary improvements required

To ensure employees maintain regular contact by telephone, when absent due to illness or injury and to contact employees directly if this is not adhered to

To take all reasonably practicable steps to accommodate an employee’s return to work from sick leave in line with any recommendations from Human Resources and/or the University’s Occupational Health Physician

To make reasonably practicable adjustments to employment arrangements or accommodations for employees with a disability in line with any reasonable accommodation recommendations

To advise employees of the Employee Assistance Programme and promote its uptake

To manage health and safety in the workplace in accordance with the University's and Departmental Safety Statements

To maintain confidentiality in relation to employees’ sick leave records
To discuss each sick leave absence with employees’ at the earliest opportunity on their return to work and keep a record of each discussion

5.2 Procedure for Managers

Managers play a key role in ensuring all employees are treated equally during their sick leave absence. They:

- Must ensure a record of all employees sick leave, along with a record of all remittance slips received in respect of illness benefit payments
- Must hold all medical certificates as they receive them for timely processing of sick pay benefits
- Shall consult with Employee Relations on the appropriateness of accepting monthly medical certificates in exceptional circumstances
- Should have a discussion with employees following each absence from work due to illness. This should be done informally, in private, on the day of the employee’s return to work or as soon as possible thereafter. The aim of this discussion is to:
  - Welcome the employee back to work
  - Demonstrate concern for the employee’s health and wellbeing
  - Offer support as required
  - Advise of the Employee Assistance Programme, as appropriate
  - Update the employee on any relevant work-related matters
  - Identify any possible health & safety issues in the workplace which may be affecting the employee’s attendance
- A record of these discussions should be retained by the Manager for future reference. This may be done using the Return to Work Form in this policy.

6. Persistent Short-Term Sick Leave Absence

- The procedure for managing frequent short-term sick leave absence is designed to be supportive and aims to help employees achieve regular attendance at work
Sick Leave absence should be dealt with promptly and consistently. Managers shall discuss all absences with employees as they occur.

Where frequent short-term sick leave absences occur (whether certified or uncertified), employees may be referred to the University’s Occupational Health Service and/or Employee Assistance Programme, even if at work.

Where there is no underlying medical reason, the employee should be advised that their sick leave absence levels are unsatisfactory. Managers should advise such employees that improvement is required and of the consequences if their attendance does not improve.

If there is no satisfactory improvement in attendance over time, the matter may be dealt with under disciplinary procedures.

7. Long-Term Sick Leave Absence

- Long-term sick leave absence shall be defined as any absence of 20 consecutive working days or more.
- Regular contact should be maintained between the Manager and the employee during extended periods of sick leave. Weekly medical certificates should be sent by the employee to their Manager. On occasions, monthly medical certificates may be submitted with the agreement of the Manager in consultation with Employee Relations. The employee is responsible for keeping their Manager informed of their progress and likely return to work date.
- During long-term sick leave absence, the employee may be advised of the Employee Assistance Programme and/or be referred to the University’s Occupational Health Service.
- A fitness to return to work certificate is required after four weeks’ continuous absence or greater.
- Employees may not return to work unless they are medically fit to do so. In cases of long-term sick leave absence, the employee’s own treating medical specialist or General Practitioner (GP) must certify that the employee is fit to return to work.
8. Phased Return to Work following Sick Leave Absence

- A phased return to work may be recommended for employees who have been absent due to a long-term illness, an injury or following surgery. Any such recommendations by a treating specialist/GP will be assessed and confirmed by the University’s Occupational Health Physician.
- A phased return to work is considered a reasonable accommodation under the Disability and Equality Acts. A phased return to work is not suitable in every situation and is assessed on a case by case basis.
- In the event that a phased return to work is recommended, before the employee returns to work, the Manager should meet with them to discuss:
  
  - When the phased return to work is to start
  - What attendance pattern the employee will work in terms of days/hours (if not advised by the University’s Occupational Health Physician)
  - Location of where the employee will work
  - If there are any other changes to working arrangements required (e.g. if a special chair was needed or computer equipment)

- The Manager should liaise with and notify Employee Relations of the final agreed arrangements.
- Employees on a phased return to work are considered to be on certified sick leave for the duration of time they are not fit to work. They will receive salary for the time that they are at work and sick pay for the time that they are unfit for work, in accordance with their sick pay benefits.

9. Role of Human Resources

The Employee Relations section in Human Resources advises Managers and staff on the operation of the University’s Sick Leave and Sick Leave Absence Management policies. The contact details for the team can be found at www.tcd.ie/hr/our-services/Employee-Relations/

The role of Employee Relations is:
• To provide information to employees in relation to the University’s Sick Leave Policy and the University’s Sick Leave Absence Management Policy (i.e. this policy)
• To provide information, guidance, training and support for Managers in relation to the University’s Sick Leave Policy and the University’s Sick Leave Absence Management Policy (i.e. this policy)
• To provide advice and support to Managers in monitoring and managing sick leave absence and to ensure that the University’s Sick Leave Policy and this policy are consistently applied
• On request from Managers, refer employees to the University’s Occupational Health Physician, as appropriate
• To notify employees in writing of occupational health appointments, outcomes, recommendations and sick pay benefits
• To liaise with Managers, as required, on the implementation of recommendations arising from occupational health assessments
• To advise employees on the availability of the Employee Assistance Programme
• To ensure that any salary adjustments arising from a reduction of sick pay benefits are processed in a timely manner on receipt of sick leave records from Managers

10. Role of University’s Occupational Health Physician
To provide a confidential specialist occupational health service for all employees referred. The Occupational Health Physician shall:

- Assess the employee’s current fitness for work
- Liaise with the employee’s own medical practitioner (with consent), if necessary
- Advise on the likely duration of absence
- Make recommendations, as appropriate and necessary, to assist an employee’s recovery and return to work
- Advise on reasonable accommodations to facilitate the employee’s return to work as required
11. Role of the University’s Employee Assistance Programme Provider

To provide a confidential counselling service to University employees and their immediate families (those living at home with them) who are experiencing personal or work-related difficulties that could impact on their health, well-being, relationships or employment. Details can be found at http://www.tcd.ie/hr/staff-wellbeing/employee-assistance/index.php
# Return to Work Form

**Part 1/3:** To be completed by the employee immediately on return to work from sick leave

<table>
<thead>
<tr>
<th>First Day of Absence: (indicate leaving time if at work)</th>
<th>Date Returned to Work:</th>
</tr>
</thead>
<tbody>
<tr>
<td>uncertified □ (no more than 2 consecutive days)</td>
<td></td>
</tr>
<tr>
<td>certified □ (required on 3rd day of absence)</td>
<td></td>
</tr>
<tr>
<td>State briefly why you were unfit for work (optional):</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>I reported my absence to:</th>
<th>On (date / time):</th>
</tr>
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<tbody>
<tr>
<td>I applied for Illness Benefit □ Yes □ No</td>
<td>If not, why not?</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>My absence was due to an accident or injury which occurred while I was at work:</th>
<th>Y / N</th>
</tr>
</thead>
<tbody>
<tr>
<td>If yes, have you completed the necessary Accident Report Form?</td>
<td>Y / N</td>
</tr>
</tbody>
</table>

**Part 2/3: Return to Work Discussion** (to be completed by Manager)

<table>
<thead>
<tr>
<th>The employee followed the notification requirements for this absence:</th>
<th>Y / N</th>
</tr>
</thead>
<tbody>
<tr>
<td>The employee applied for Illness Benefit:</td>
<td>Y / N</td>
</tr>
<tr>
<td>If not, did you discuss why?</td>
<td>Y / N</td>
</tr>
<tr>
<td>If appropriate, did you discuss the employee’s patterns of sick leave if they are of concern?</td>
<td>Y / N</td>
</tr>
<tr>
<td>The employee was updated on any work matters that they may have missed:</td>
<td>Y / N</td>
</tr>
<tr>
<td>Did you discuss recommendations of Occupational Health Physician, if applicable?</td>
<td>Y / N</td>
</tr>
</tbody>
</table>

**Part 3/3: Signatures**

<table>
<thead>
<tr>
<th>Name</th>
<th>MANAGER</th>
<th>EMPLOYEE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Signature</td>
<td></td>
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<tr>
<td>Date</td>
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</tbody>
</table>

Please retain this form for local records and give a copy to the employee.